## 3.2. The cross section

A scattering process or an electron transition caused by a projectile can be characterized by the **cross section**.

Let's consider a scattering process, particles X being the target. This is bombarded by a monoenergetic beam of projectiles Y.  $J_Y$  – the flux of the projectiles, the number of the incident particles per unit of time and per unit of perpendicular area. We neglect the interaction between the projectiles.

First we take into account only elastic scattering.  $dN_Y$  is the number of scattered particles per unit of time in the direction  $\Omega$ , element of solid angle  $d\Omega$ . This quantity may be written

$$dN_Y(\Omega) = J_Y \Sigma(\Omega) d\Omega. \tag{25}$$

where  $\Sigma(\Omega)$  is a characteristic of the target. If we have in the target  $N_X$  identical scattering centers (target atoms), and we assume that there in no coherence between the scattered waves by different centers, and each projectile is scattered only once, the number of scattered particles will be proportional to the number of target atoms.

$$dN_Y(\Omega) = J_Y N_X \sigma_d(\Omega) d\Omega, \tag{26}$$

Here  $\sigma_d(\Omega)$  is an area-like quantity, and is a characteristic of one scattering center. This is the elastic differential cross section. We introduce  $\sigma_e$ , the total differential cross section

$$\sigma_d \equiv \frac{d\sigma_e}{d\Omega} = \frac{dN_Y(\Omega)}{J_Y N_X d\Omega}.$$
 (27)

and

$$\sigma_e = \int \sigma_d(\Omega) d\Omega. \tag{28}$$

This quantity is approximately equal to the area of a circle with the radius being the range of the potential between the two particles.

In the case of an inelastic collision the target quantum state is changed, from i to f. The characteristic cross section for this transition may be written

$$\sigma_{i \to f} = \frac{N_{i \to f}}{J_V N_X}.\tag{29}$$

We also may define several differential cross sections. For example

$$\frac{d^{2n-1}\sigma_{i\to f}}{d\Omega_1\cdots d\Omega_n dE_1\cdots dE_{n-1}}.$$
(30)

Further we assume, that we have only one scattering center, which is bombarded by a monoenergetic beam of identical particles. The quantum state of the projectiles differ only in the impact parameter relative to the target. Let  $w(i, \Phi_{\mathbf{b}} \to f)$  be the probability of the transition  $i \to f$  for impact parameter  $\mathbf{b}$ -tr If in the unit of time are scattered  $N_Y$  particles, the number of  $i \to f$  transitions are

$$N_{i \to f} = \sum_{j=1}^{N_Y} w(i, \Phi_{\mathbf{b_j}} \to f)$$
(31)

If  $N_Y \to \infty$ , the sum is transformed into an integral over a perpendicular plane to the beam direction

$$N_{i \to f} = \int d^2 \mathbf{b} J_Y w(i, \Phi_{\mathbf{b}} \to f). \tag{32}$$

We assume a homogeneous flux

$$N_{i\to f} = J_Y \int d^2 \mathbf{b} w(i, \Phi_{\mathbf{b}} \to f). \tag{33}$$

Comparing (33) with (29) and taking into account that  $N_X = 1$ , for the cross section we obtain

$$\sigma_{i \to f} = \int d^2 \mathbf{b} w(i, \Phi_{\mathbf{b}} \to f).$$
 (34)

We will use this formula for further calculations. Similarly, the (30) differential cross section may be expressed

$$\frac{d^{2n-1}\sigma_{i\to f}}{d\Omega_1 \cdots d\Omega_n dE_1 \cdots dE_{n-1}} = \int d^2 \mathbf{b} w(i, \Phi_{\mathbf{b}} \to f, \Phi'), \tag{35}$$

where  $|\Phi'\rangle$  is the final state with a given energy and angular distribution.

# 3.3. Electron transitions induced by charged particles

If the projectile has large energy, it may be described classically. For this approximation to be valid the de Broglie wavelength of the projectile should be much less relative to the atomic dimensions. If also the energy and momentum transfer are negligible to the projectile energy and momentum

$$p_i \approx p_f >> \sqrt{2M\Delta E},$$
 (36)

 $(p_i \text{ and } p_f \text{ being the initial and final momentum, } M \text{ the mass of the projectile,} \Delta E \text{ the energy transfer}), the movement of the projectile is approximated by a straight-line trajectory and constant velocity. This approximation is called semiclassical approximation (SCA) or impact parameter method (IPM)$ 

Taking into account (4) and (34) the cross section for transition  $i \to f$  may be obtained

$$\sigma_{i \to f} = \int d^2 \mathbf{b} |a_{i \to f}(b)|^2$$

$$= \int d^2 \mathbf{b} |\langle f|U_{\mathbf{b}}(+\infty, -\infty)|i\rangle|^2. \tag{37}$$

Quantum states i and f, and the  $U_{\mathbf{b}}(+\infty, -\infty)$  evolution operator are for the electron system. The projectile-electron interaction is taken to be the perturbation.

### One-electron transitions

We assume the independent-electron approximation, and take into account only one active electron. If the energy of the projectile is much larger relative to the interaction, we may apply first-order perturbation theory. Usually this is valid for projectiles above  $100 \text{ keV/u} \times Z_p^2$  energy,  $Z_p$  being the charge of the projectile.

Let us take an excitation process. The amplitude in first order may be calculated from (21). The perturbation potential is the Coulomb-interaction between the projectile and the active electron

$$V(t) = \frac{-Z_p}{R_{pe}(t)} = -\frac{Z_p}{|\mathbf{R}(t) - \mathbf{r}|},\tag{38}$$

 $R_{pe}$  being the distance between the projectile and the electron, **R** and **r** the position vectors of the projectiles and the active electron, respectively. Taking the origin in the nucleus, we can write

$$\mathbf{R} = \mathbf{b} + \mathbf{z} \tag{39}$$

$$R = \sqrt{b^2 + z^2}. \tag{40}$$

We change the variable in the time integral from (21)

z = vt; dz = vdt.

and we obtain for the first-order amplitude 
$$a^{(1)} = i \frac{Z_p}{v} \int_{-\infty}^{+\infty} dz e^{i \frac{E_f - E_i}{v} z} \langle f | \frac{1}{|\mathbf{R}(t) - \mathbf{r}|} | i \rangle.$$

The potential is expanded into the multipole series

$$\frac{1}{|\mathbf{R}(t) - \mathbf{r}|} = \sum_{l=0}^{\infty} \frac{4\pi}{2l+1} \frac{r_{<}^{l}}{r_{>}^{l+1}} \sum_{m=-l}^{l} Y_{lm}^{*}(\hat{\mathbf{R}}) Y_{lm}(\hat{\mathbf{r}}),$$

and we separate the orbital part of the electron wavefunctions

$$i = R_i(r) Y_{l_i m_i}(\hat{\mathbf{r}})$$

$$i = R_i(r)Y_{l_im_i}(\mathbf{r})$$

$$f = R_f(r)Y_{l_fm_f}(\hat{\mathbf{r}}).$$

(43)

(44)

(45)

(41)

Using the analytical formula for the integration of the product of three spherical harmonics, we obtain

$$a^{(1)} = i \frac{Z_p}{v} \sum_{l} \frac{4\pi}{2l+1} \sqrt{\frac{(2l_i+1)(2l+1)}{4\pi (2l_f+1)}} C_{l_i0l0}^{l_f0}$$

$$\times \sum_{m} C_{l_im_ilm}^{l_fm_f} \int_{-\infty}^{+\infty} dz e^{i\frac{E_f-E_i}{v}z} Y_{lm}^*(\hat{\mathbf{R}})$$

$$\times \int_{0}^{\infty} r^2 dr R_f^*(r) \frac{r_{\leq}^l}{r^{l+1}} R_i(r). \tag{46}$$

Let us look now to the ionization process. The ejected electron may leave the atom with different energies and angular momenta. It is usual to expand the final state into a series of the eigenstates of  $L^2$  and  $L_z$  (spherical harmonics)

$$\psi_{\mathbf{p}}(\mathbf{r}) = \sum_{l_f} i^{l_f} e^{i\delta_{l_f}} R_{l_f}(pr) \sum_{m_f} Y_{l_f m_f}^*(\hat{\mathbf{p}}) Y_{l_f m_f}(\hat{\mathbf{r}}). \tag{47}$$

This expansion is called the partial-wave expansion of the ejected electron,  $\delta_{l_f}$  being the phaseshift,  $\mathbf{p}$  the momentum of the electron  $R_{l_f}(pr)$  the radial function of the partial wave. For plane waves (no interaction) the partial waves are the spherical Bessel functions, for purely Coulomb potential may be expressed by the Coulomb waves

$$R_{l_f}(pr) = \sqrt{\frac{2}{\pi}} \frac{1}{pr} F_{l_f}(-\frac{Z}{p}, pr),$$
 (48)

while in other cases has to be obtained numerically (by solving the radial Schrödinger equation.

Using the same method as for the excitation we can obtain the amplitude

$$a_{l_{f}m_{f}}^{(1)}(\mathbf{p}) = i^{l_{f}+1}e^{i\delta_{l_{f}}}\frac{Z_{p}}{v}\sum_{l}\frac{4\pi}{2l+1}\sqrt{\frac{(2l_{i}+1)(2l+1)}{4\pi(2l_{f}+1)}}C_{l_{i}0l0}^{l_{f}0}$$

$$\times \sum_{m}C_{l_{i}m_{i}lm}^{l_{f}m_{f}}Y_{l_{f}m_{f}}^{*}(\hat{\mathbf{p}})\int_{-\infty}^{+\infty}dze^{i\frac{E_{f}-E_{i}}{v}z}Y_{lm}^{*}(\hat{\mathbf{R}})$$

$$\times \int_{0}^{\infty}r^{2}drR_{l_{f}}^{*}(pr)\frac{r_{<}^{l}}{r_{>}^{l+1}}R_{i}(r), \qquad (49)$$

and the differential cross section in terms of the direction and magnitude of the final momentum of the electron

$$\frac{d^2\sigma}{d\hat{\mathbf{p}}dp} = \int d^2\mathbf{b} |\sum_{l_f m_f} a_{l_f m_f}^{(1)}(\mathbf{p})|^2.$$
 (50)

If we need the total cross section we have to integrate over the momentum vector. Using the orthonormality of the  $Y_{l_f m_f}^*(\hat{\mathbf{p}})$  spherical harmonics, integration over the angles is easily performed, and we get

$$\sigma = \int d^2 \mathbf{b} \int_0^\infty p^2 dp \sum_{l_f m_f} |a_{l_f m_f}^{(1)}(p)|^2,$$
 (51)

where the amplitude does not contain the  $Y_{l_f m_f}^*(\hat{\mathbf{p}})$  functions, and does not depend on the direction of the momentum. The energy of the final state may be written as

$$E_f = E_f^+ + \frac{p^2}{2},\tag{52}$$

or

$$E_f - E_i = I + \frac{p^2}{2},\tag{53}$$

where I is the ionization potential.

#### Ionization of molecular hydrogen by proton impact. I. Single ionization

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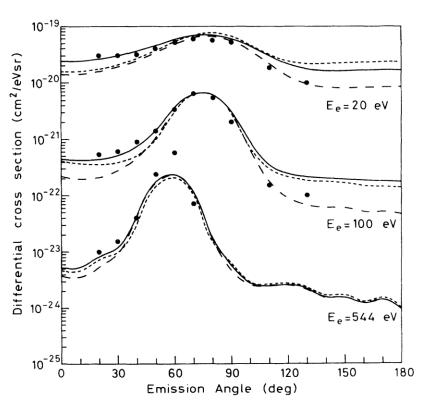
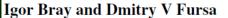


FIG. 5. Differential cross sections of electron emission from  $H_2$  target by 1-MeV proton impact. The experimental values are taken from Toburen and Wilson [5]. The theoretical curves are calculated with Shull-Ebbing (solid line), atomic (long-dotted line), and Heitler-London (short-dotted line) wave functions.

J. Phys. B: At. Mol. Opt. Phys. 44 (2011) 061001 (3pp)

#### FAST TRACK COMMUNICATION

# Benchmark cross sections for electron-impact total single ionization of helium



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